Horizon 2020
the EU framework programme
for Research and Innovation

2014-2020

Nicoletta Palazzo
National Research Council of Italy-CNR
Office for European and International Relations
What is Horizon 2020

✓ core part of Europe 2020, Innovation Union & European Research Area:
  - responding to the economic crisis to invest in future jobs and growth
  - addressing people’s concerns about their livelihoods, safety and environment
  - strengthening the EU’s global position in research, innovation and technology

✓ single programme bringing together three separate programmes/initiatives (FP7, CIP, EIT)

✓ coupling research to innovation – from research to market, all forms of innovation

✓ focus on societal challenges facing EU society, e.g. health, clean energy, climate and transport ...

✓ simplified access, for all companies, universities, institutes in all EU countries and beyond
Horizon 2020 is different

- strong challenge-based approach, allowing applicants to have considerable freedom to come up with innovative solutions
- emphasis on innovation, with continuing support for R&D (research and innovation actions with 100% funding; innovation actions with 70% funding)
- less prescriptive topics, strong emphasis on expected impact
- strategic approach, with two-year work programmes
- focus areas bring together different technologies, along entire innovation chain
- cross-cutting issues mainstreamed (e.g. social sciences, gender, international cooperation)
<table>
<thead>
<tr>
<th>Category</th>
<th>Budget in billion Euros</th>
<th>Percentage</th>
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</thead>
<tbody>
<tr>
<td><strong>I. EXCELLENT SCIENCE</strong></td>
<td>24,441 (31.73%)</td>
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<tr>
<td>European Research Council</td>
<td>13,095</td>
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<tr>
<td>Future and Emerging Technologies</td>
<td>2,696</td>
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<tr>
<td>Marie Slowdoska-Curie actions</td>
<td>6,162</td>
<td></td>
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<tr>
<td>Research infrastructures</td>
<td>2,488</td>
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<tr>
<td><strong>II. INDUSTRIAL LEADERSHIP</strong></td>
<td>17,016 (22.09%)</td>
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<tr>
<td>Leadership in enabling and industrial techs</td>
<td>13,557</td>
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<tr>
<td>Access to risk finance</td>
<td>2,842</td>
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<tr>
<td>Innovation in SMEs</td>
<td>0,616</td>
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<td><strong>III. SOCIETAL CHALLENGES</strong></td>
<td>29,679 (38.53%)</td>
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<tr>
<td>Health, demographic change and well-being</td>
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<td>European bioeconomy challenges: food security sustainable agriculture and forestry, marine and maritime and inland water research</td>
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<td>Secure, clean and efficient energy</td>
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<td>Smart, green and integrated transport</td>
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<td>Climate actions resource efficiency and raw materials</td>
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<td>Europe in a changing world – inclusive, innovative and reflective society</td>
<td>1,309</td>
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<tr>
<td>Secure Societies – protecting freedom and security of Europe and its citizens</td>
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<td><strong>IV. OTHER</strong></td>
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<tr>
<td>Widening participation</td>
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<tr>
<td>Science with and for society</td>
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<td>European Institute of Innovation and Technology (EIT)</td>
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<tr>
<td>Joint Research Centers (not nuclear)</td>
<td>1,903</td>
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<td><strong>TOTAL</strong></td>
<td>77,028</td>
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</table>
Horizon 2020 structure

1. Excellent Science
   - European Research Council
   - Future and Emerging Technologies
   - Marie Curie Actions
   - Research Infrastructures

2. Industrial Leadership
   - Leadership in Enabling & Industrial Technologies
     - information and communication technologies
     - nanotechnologies
     - advanced materials
     - biotechnology
     - advanced manufacturing and processing
     - space
   - Access to Risk Finance
   - Innovation in SME

3. Societal Challenges
   - 7 Challenges
     - Health, Demographic Change and Wellbeing
     - Food security, sustainable agriculture, marine and maritime research and the bio-economy
     - Secure, Clean and Efficient Energy
     - Smart, Green and Integrated Transport
     - Climate Action, Resource Efficiency and Raw Materials
     - Europe in a changing world: Inclusive, Innovative and Reflective Societies
     - Secure Societies – Protecting Freedom and Security of Europe and its Citizens

European Institute of Innovation and Technology (EIT)
Spreading Excellence and Widening Participation
Science with and for society
Joint Research Center (JRC)
To raise the level of excellence in Europe's science base, make Europe an attractive location for researchers and secure Europe's long-term competitiveness

**ERC** supporting the most talented and creative individuals and their teams to carry out frontier research

**FET**: collaborative research to open up new and promising fields of research and innovation

**MSCA-Maria Skłodowska-Curie actions** providing researchers with excellent training and career development opportunities

**Research Infrastructures** accessible to all researchers in Europe and beyond
Excellent Science

ERC supporting the most talented and creative individuals and their teams to carry out frontier research

**FET**: collaborative research to open up new and promising fields of research and innovation

MSCA-Maria Skłodowska-Curie actions providing researchers with excellent training and career development opportunities

**Research Infrastructures** accessible to all researchers in Europe and beyond
Researchers career development and complementary funding schemes
MSCA-Maria Skłodowska-Curie actions

- Attract and retain research talent
- Develop state-of-the-art, innovative training schemes, consistent with the highly competitive and increasingly inter-disciplinary requirements of research and innovation
- Promote sustainable career development in research and innovation
- Focus on delivering new knowledge and skills, in line with the key driver identified in the strategic programming approach
- Contribute to a strong partnership with MS via the co-funding mechanism
- Mobility as the key requirement - funding on condition participants move from one country to another
- Promotion of attractive working and employment conditions
ITN-INNOVATIVE DOCTORAL TRAINING

**Action 1**
Early-Stage Researchers

Innovative doctoral and research training of ESR researchers proposed by international partnerships from public and private sectors

ETN-European Training Networks
EID-European Industrial Doctorates
EJD-European Joint Doctorates

IF-INDIVIDUAL FELLOWSHIPS

**Action 2**
Experienced Researchers

Fellowships to enhance the creative and innovative potential of ERs wishing to diversify individual competence in terms of skill acquisition at multi-/interdisciplinary level through advanced training, international and intersectoral mobility

European/Global Fellowships

RISE-RESEARCH AND INNOVATION STAFF EXCHANGE

**Action 3**
Exchange of Staff

International and inter-sector cooperation through research and innovation staff exchanges, sharing of knowledge and ideas from research to market (and vice-versa)

COFUND

**Action 4**
COFUND

Co-funding of regional, national and international programmes to open up/provide for international, intersectoral and interdisciplinary research training, transnational and cross-sector mobility of researchers at all stages of their career

Doctoral and Fellowship programmes
FP7-PEOPLE-IEF 2007-2013: Success rates
FP7-PEOPLE-ITN Success rates

- Ineligible / withdrawn
- Rejected
- Reserve
- Successful

2007: 8.3%
2008: 11.9%
2009: 8.2%
2010: 10.2%
2011: 13.5%
2012: >11.8% (est.)
2013: >11.8% (est.)

EID 2012: 17.1%
EID 2013: >19.3% (est.)
ERC-European Research Council

✓ aims at funding the best ‘frontier research’ proposals submitted by excellent researchers in the area of their choice
✓ will fund projects led by a Principal Investigator, if necessary supported by a research team (no requirement for collaboration or forming consortia across different EU countries)
✓ 25 panels in 3 domains which proposals can be submitted to:
  - Physical Sciences and Engineering (PE)
  - Life Sciences (LS)
  - Social Sciences and Humanities (SH)
ERC-European Research Council

- **Starting Grants**
  - starters (2-7 years after PhD)
  - up to € 2.0 Mio for 5 years

- **Consolidator Grants**
  - consolidators (7-12 years after PhD)
  - up to € 2.75 Mio for 5 years

- **Advanced Grants**
  - track-record of significant research achievements in the last 10 years
  - up to € 3.5 Mio for 5 years

- **Synergy Grants**
  - 2 – 4 Principal Investigators
  - up to € 15.0 Mio for 6 years

- **Proof-of-Concept**
  - bridging gap between research - earliest stage of marketable innovation
  - up to €150,000 for ERC grant holders
<table>
<thead>
<tr>
<th>ERC Calls</th>
<th>Total number of applications</th>
<th>of which</th>
<th></th>
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<td></td>
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<td>Evaluated*</td>
<td>Funded</td>
<td>success rates**</td>
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<tr>
<td>Starting Grant 2007</td>
<td>9,167</td>
<td>8,787</td>
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<tr>
<td>Starting Grant 2009</td>
<td>2,503</td>
<td>2,392</td>
<td>245</td>
<td>10.2</td>
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<tr>
<td>Starting Grant 2010</td>
<td>2,873</td>
<td>2,767</td>
<td>436</td>
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<td>Starting Grant 2011</td>
<td>4,080</td>
<td>4,005</td>
<td>486</td>
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<tr>
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<td>4,652</td>
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<tr>
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<td>3,255</td>
<td>300</td>
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<td>2,245</td>
<td>301</td>
<td>13.4</td>
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<td>12,404</td>
<td>1,707</td>
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<td>Proof of Concept 2011 - 1&amp;2</td>
<td>151</td>
<td>139</td>
<td>51</td>
<td>36.7</td>
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<td>Proof of Concept 2012 - 1&amp;2</td>
<td>143</td>
<td>120</td>
<td>60</td>
<td>50.0</td>
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<td>Proof of Concept 2013 - 1</td>
<td>145</td>
<td>139</td>
<td>33</td>
<td>23.7</td>
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<tr>
<td>Proof of Concept</td>
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<td></td>
<td>439</td>
<td>398</td>
<td>144</td>
<td>36.8</td>
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<tr>
<td>Synergy Grant 2012</td>
<td>710</td>
<td>697</td>
<td>11</td>
<td>1.6</td>
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<tr>
<td>Synergy Grant 2013</td>
<td>449</td>
<td>427</td>
<td>13</td>
<td>3.0</td>
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</table>

* withdrawn and ineligible proposals not taken into account
** percentage of funded proposals in relation to evaluated proposals
Excellent Science

*ERC supporting the most talented and creative individuals and their teams to carry out frontier research*

*FET: collaborative research to open up new and promising fields of research and innovation*

*MSCA-Maria Skłodowska-Curie actions providing researchers with excellent training and career development opportunities*

*Research Infrastructures accessible to all researchers in Europe and beyond*
FET in H2020: 3 complementary, inter-linked approaches and funding schemes
Excellent Science

ERC supporting the most talented and creative individuals and their teams to carry out frontier research

FET: collaborative research to open up new and promising fields of research and innovation

MSCA-Maria Skłodowska-Curie actions providing researchers with excellent training and career development opportunities

Research Infrastructures accessible to all researchers in Europe and beyond and fully exploit their potential for scientific advance and innovation
Why an EU approach for Research Infrastructures?

- To open access to the research infrastructures existing in the individual Member State to all European researchers
- To avoid duplication of efforts and to coordinate and rationalise the use of these research infrastructures
- To trigger the exchange of best practice, develop interoperability of facilities and resources, develop the training of the next generation of researchers
- To connect national research communities and increase the overall quality of the research and innovation
- To help pooling resources so that the Union can also acquire and operate research infrastructures globally
Research Infrastructures in Horizon 2020

Developing the European RIs for 2020 and beyond

- Developing **new world-class RIs**
- **Integrating** and opening national and regional RIs of pan-European interest
- Development, deployment and operation of ICT based **e-Infrastructures**

Fostering the **innovation** potential of RIs and their **human resources**
Reinforcing European RI **policy** and **international cooperation**
A Coherent Toolbox of Activities

EU Structural Funds & National Funding

Concept
Preparation
Implementation
Operation

ESFRI & Other World Class RI (OWCRI)
of pan European interest

Preparatory Phase
Support to Implementation & Operation
Individual projects - Clusters

Design Study

Policy support actions – International Cooperation

Integrating Activities
Innovation & Human resources

Research and Innovation
To make Europe a more attractive location to invest in R&I, by promoting activities where businesses set the agenda

- Leadership in enabling and industrial technologies – nanotechnology, biotechnology, advanced materials, advanced manufacturing and processing, ICT, Space

- Access to finance for innovative enterprises, leveraging private finance and venture capital for R&I, financial instruments in partnership with the European Investment Bank;

- Innovation in SMEs: fostering all forms of innovation in all types of SMEs
Key Enabling Technologies (KETs): 6 strategic technologies, driving competitiveness and growth opportunities, contributing to solving societal challenges, Knowledge- and Capital-intensive, cut across many sectors.

- Technology development and validation, aiming at industrial deployment of KETs.
- Emphasis on R&D and innovation with strong industrial dimension and industry engagement.
- Activities primarily developed through relevant Strategic Research Agendas and industrial roadmaps (ETPs, PPPs).
- Involvement of industrial participants and SMEs to maximise expected impact => key aspect of proposal evaluation.
- Funded projects will be outcome oriented, developing key technology building blocks and bringing them closer to the market.

... from lab to industry to market
Why societal challenges?

✓ EU policy objectives (climate, environment, energy, transport etc) cannot be achieved without innovation
✓ Breakthrough solutions come from multi-disciplinary collaborations, including social sciences & humanities with ICT as horizontal tool
✓ Promising solutions need to be tested, demonstrated and scaled up

7 challenges:
- Health, demographic change and wellbeing
- European Bioeconomy Challenges: Food security, sustainable agriculture and forestry, marine and maritime and inland water research
- Secure, clean and efficient energy
- Smart, green and integrated transport
- Climate action, resource efficiency and raw materials
- Inclusive, innovative and reflective societies
- Secure societies

Actions from research to market with strong connection to society and new focus on innovation-related activities
Horizon2020: a strategic programming approach

- Work programme preparation based on guidance obtained from a strategic programming exercise (multi-annual)

- 3 Workprogrammes (biannual) during the course of H2020 and 1 final Work Programme for the last year to bridge to the next programme after 2020

- Leitmotif of the first work programme is the economic crisis and the path to sustainable growth - Horizon 2020 can make a significant contribution to this effort

- 'key drivers' (competitiveness innovation and growth, leverage of industry, access to finance, new knowledge and skills, enabling technologies), used to identify areas on which resources and effort will be focused for maximum impact
**Proposed Work Programme Cycle**

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<td><strong>2016</strong></td>
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<td>Work Programme 1 (plus tentative information for 2016)</td>
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<td>Work Programme 2 (plus tentative information for 2018)</td>
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<td>Work Programme 3 (plus tentative information for 2020)</td>
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<tr>
<td>Work Programme 4</td>
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</table>
Priorities

Key priority = exit the crisis by boosting competitiveness, creating jobs and supporting growth by:

- Focusing resources around **12 areas of high growth and innovation potential**
- Bringing forward key measures in **Key Enabling Technologies (KETs)**, assuring access to finance and supporting innovative SMEs
- Launching **PPPs**
- Launching measures to overcome the innovation divide via **Structural Funds**
Twelve Focus areas

The Strategic Programme

- Personalising health and care
- Sustainable food security
- Blue growth: unlocking the potential of the oceans
- Smart cities and communities
- Competitive low-carbon energy
- Energy Efficiency
- Mobility for growth
- Waste
- Water innovation: boosting its value for Europe
- Overcoming the crisis
- Disaster-resilience
- Digital security
How to participate

The first steps to prepare your proposal and apply for EU research funding. Learn how to find a suitable Call for proposals or project partners and how to submit your proposal.

The following guidance services facilitate your participation:

- **H2020 Online Manual**: step-by-step online guide through the Portal processes from proposal preparation and submission to reporting on your on-going project
- **Reference documents**: library of legal documents, guidance notes, and additional reference material for H2020 and FP7
- search for already registered organisations and their PICs
- **Financial viability self-check tool**: allows you simulating the financial viability check of your organisation
- **SME participation**: dedicated H2020 guidance page for SME
Reference Documents

This page includes all the H2020 & FP7 reference documents starting with legal documents and the Commission work programmes for research and innovation up to model grant agreements and guides for specific actions and horizontal issues. The documents are grouped by categories. It also includes reference documents of other EU programmes, as 3rd Health, Consumer, COSME and Research Fund for Coal and Steel programmes. To access a document:

- Click on a folder
- Click on ARROW to have more information about the document and its available translations

You can search a specific H2020 or FP7 document on the Europe Search service.

H2020

Legal basis

- Framework programmes (EC-Euratom)
- Rules for participation
- Specific programme
  - Horizon 2020 Specific Programme
- European institute of Innovation and Technology (EIT)

Model grant agreement

- General Grant Agreement
- European Research Council (ERC)
- Maria-Sklodowska-Curie (MSC)
Work Programmes

2014-15

Main WP

Main Work Programme - corrigendum

1. Introduction_v.2.0

2. Future and Emerging Technologies (FETs)_v.2.0

3. Marie Skłodowska-Curie actions (MSCA)_v.2.0

4. Research infrastructures (including e-Infrastructures)_v.2.0

5. Introduction to Leadership in enabling and industrial technologies (LEITs)_v2.0

5i. Information and communication technologies (ICT)_v.2.0

5ii. Nanotechnologies, advanced materials, advanced manufacturing and processing, biotechnology_v.2.0

5iii. Space_v.2.0

6. Access to risk finance_v.2.0

7. Innovation in SMEs_v.2.0

8. Health, demographic change and wellbeing_v.2.0

9. Food security, sustainable agriculture and forestry, marine and maritime and inland water research and the bioeconomy_v.2.0

10. Secure, clean and efficient energy_v.2.0

11. Smart, green and integrated transport_v.2.0

12. Climate action, environment, resource efficiency and raw materials_v.2.0

13. Europe in a changing world - inclusive, innovative and reflective societies_v.2.0

14. Secure societies - protecting freedom and security of Europe and its citizens_v.2.0

15. Spreading excellence and widening participation_v.2.0

16. Science with and for society_v.2.0

17. Communication and Dissemination_v.2.0

18. Fast Track to Innovation_v1.0

General Annexes to the Main WP

General Annexes to the Main WP_v.2

European Research Council

ERC Work Programme 2014

ERC Work Programme 2015
Horizon 2020 is the new EU funding programme for research and innovation running from 2014 to 2020 with a €80 billion budget. The first calls for proposals for Horizon 2020 were published on 11 December 2013. Its simplified rules and submission and grant management tools should facilitate participants’ tasks. For practical guidance, see the H2020 online manual.

COSME

Programme for the Competitiveness of Enterprises and SMEs (COSME) will run from 2014 to 2020, with a planned budget of €2.3bn. It will facilitate SME access to finance, create supportive environment for business creation, help small businesses operate outside their home countries and improve their access to markets.

3rd HEALTH PROGRAMME

The Third Health Programme will run from 2014 to 2020, with a planned budget of 449 million EUR. It will support actions that complement, support and add value to the policies of the Member States to improve the health of EU citizens and reduce health inequalities by promoting health, encouraging innovation in health, increasing the sustainability of health systems and protecting Union citizens from serious cross-border
### Horizon 2020 Calls for Proposals

#### Excellent Science
- European Research Council
- Future and Emerging Technologies
- Marie Skłodowska-Curie actions
- Research infrastructures

#### Industrial Leadership
- Leadership in enabling and industrial technologies (LEIT)
- Access to risk finance
- Innovation in SMEs

#### Filters
- Filter a call

#### Sort by
- Title
- Call Id
- Planned Opening Date
- Deadline Date

#### FP7 & CIP Programmes 2007–2013

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<th>Programme</th>
<th>Title</th>
<th>Deadline</th>
<th>Opening Date</th>
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<td><strong>Excellent Science</strong></td>
<td>FET-Open - Novel ideas for radically new technologies - Coordinat ...</td>
<td>31/05/2015</td>
<td>01/10/2014</td>
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<td><strong>Societal Challenges</strong></td>
<td>Energy Efficiency - PPP EeB and SPIRE topics</td>
<td>04/02/2015</td>
<td>30/09/2014</td>
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<td><strong>Excellent Science</strong></td>
<td>e-Infrastructures</td>
<td>14/01/2015</td>
<td>24/09/2014</td>
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<tr>
<td><strong>Excellent Science</strong></td>
<td>Support to innovation, human resources, policy and international cooperation</td>
<td>14/01/2015</td>
<td>24/09/2014</td>
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<tr>
<td><strong>Excellent Science</strong></td>
<td>Developing new world-class research infrastructures</td>
<td>14/01/2015</td>
<td>24/09/2014</td>
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<tr>
<td><strong>Excellent Science</strong></td>
<td>Call for proposals to identify and implement novel ways to ...</td>
<td>16/12/2014</td>
<td>16/09/2014</td>
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<td><strong>Societal Challenges</strong></td>
<td>Personalising health and care</td>
<td>20/02/2015</td>
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<td>Personalising health and care</td>
<td>20/02/2015</td>
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#### Other EU Programmes 2014–2020
- Research Fund for Coal & Steel
- COSME
- 3rd Health Programme
- Consumer Programme

#### Status
- Forthcoming
- Open
- Closed
FET-OPEN - NOVEL IDEAS FOR RADICALLY NEW TECHNOLOGIES
COORDINATION AND SUPPORT ACTIONS 2015

H2020-FETOPEN-2015-CSA


Opening Date 01-10-2014
Deadline Date 29-09-2015 17:00:00 (Brussels local time)
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Main Pillar Excellent Science
OJ reference OJ C 361 of 11 December 2013

Budget €3,000,000
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Call updates

- 01-10-2014 09:29:06

The submission session is now available for: FETOPEN-CSA-FETEXCHANGE-2015(CSA), FETOPEN-CSA-FETTAKEUP-2015(CSA)

Topics and submission service

To access the Submission Service, please select the TOPIC of your interest and then open the Submission Service tab.
To access existing draft proposals, please login to the portal and select My Proposals from the My Area menu.

- FETOPEN-CSA-FETTAKEUP-2015: FET Take-Up Coordination and Support Activities 2015
Rules for Participation and Dissemination in Horizon 2020

CONDITIONS FOR PARTICIPATION
ELIGIBILITY FOR FUNDING
INSTRUMENTS
SELECTION AND AWARD CRITERIA
IMPLEMENTATION
FINANCIAL RULES
DISSEMINATION OF RESULTS
Rules for participation and dissemination in Horizon 2020

One single set of Rules for:
- research (FP) and innovation (CIP) programmes
- other EC initiatives (art. 185, JTI, EIIT)
Thank you for your attention!
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